

Traffic flows in one lane roadways

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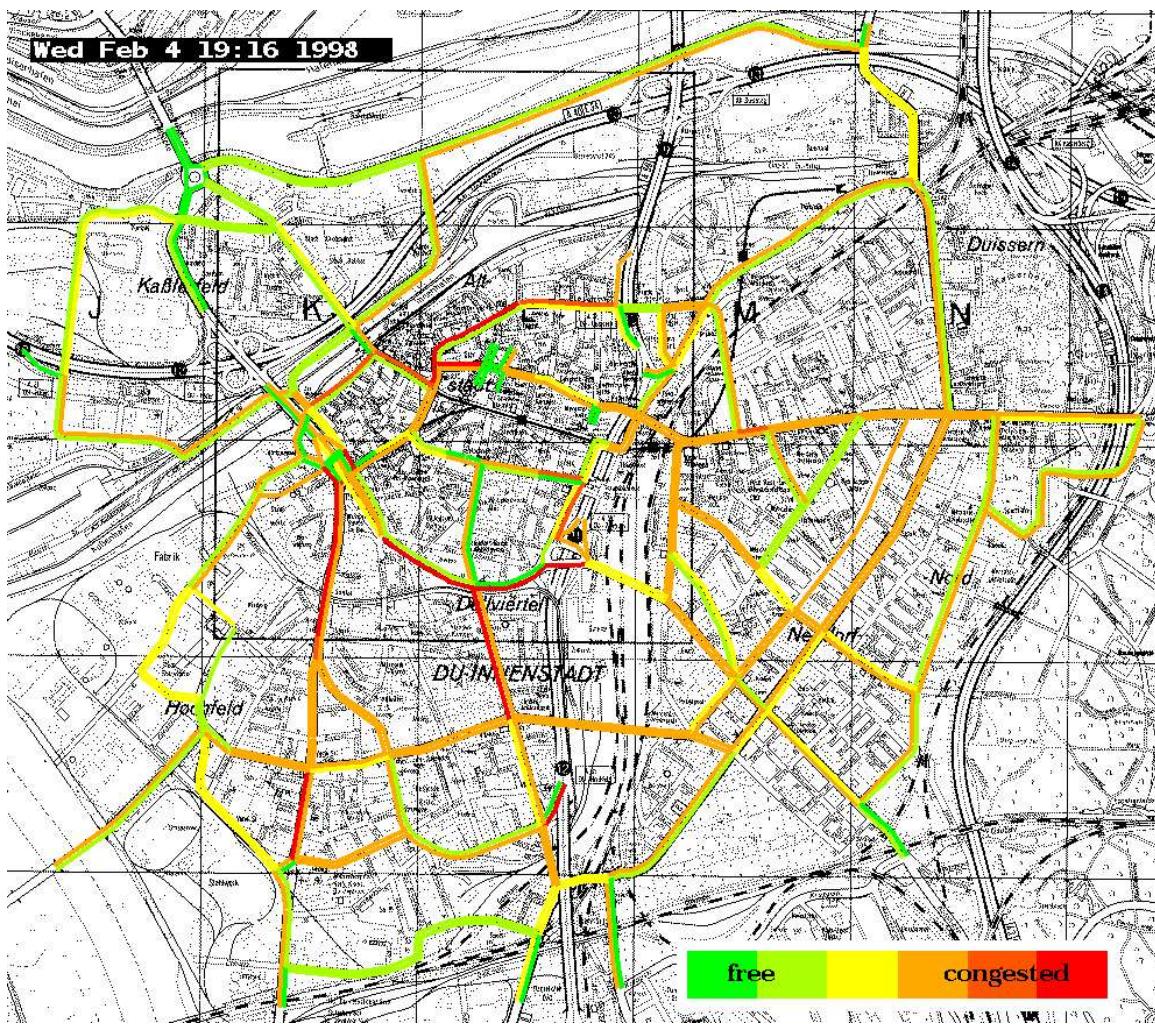
with: Paul Krapivsky (Boston)

Traffic

- strongly interacting many body systems
- low dimensional systems:
 - rural traffic is 1D
 - urban grid traffic is 2D-like
- rich phenomenology:
 - shock waves
 - jamming Nagel J Physique 92
 - clustering EB PRE 94
 - phase transitions Kerner PRL 97

can we use gas/fluid theories
and concepts (kinetic theory/fluid dynamics)?

Cellular automata microsimulation



Schreck

A variety of approaches

		cars	x	t
	Cellular Automata (CA)	D	D	D
	Hopping Models (MC)	D	D	C
→	Event Driven (MD)	D	C	C
	Fluid Dynamics (FD)	C	C	C

CA - Nagel, Schreckenberg

MC - Derrida, Lebowitz, Schmitmann, Zia

MD - Helbing, Nagatani, Progogine

FD - Herman, Kerner, Williams

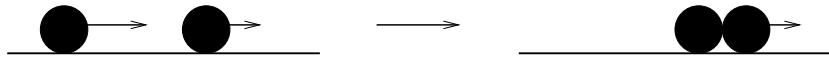
so far, mainly numerical results

The Model

simplifications:

- uniform roads
- infinite roads ($L = \infty$)
- collision time = 0
- sizeless cars
- purely ballistic motion (constant velocity)
- $P_0(v) =$ intrinsic velocity distribution

collision rule:



goals: given $P_0(v) = P(v, t = 0)$, find:

- $P(v, t)$ = distribution of clusters with velocity v at time t .
- $P_k(v, t)$ = distribution of clusters of k cars with velocity v at time t .

No passing zones

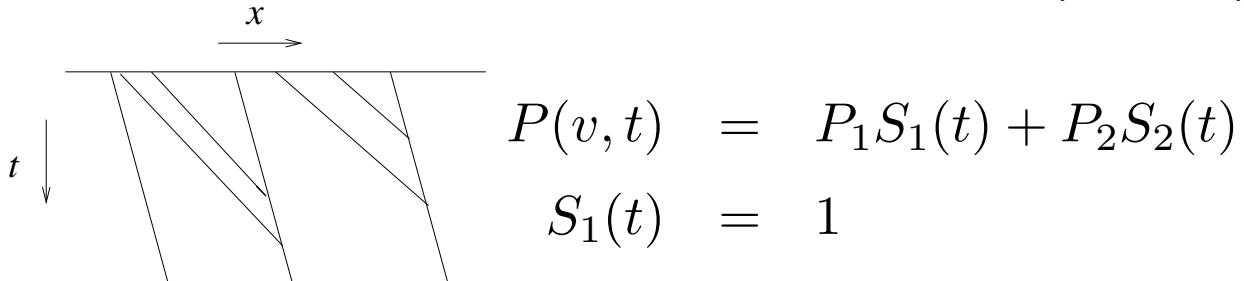
preliminary: discrete velocity distributions

$$P_0(v) = \sum_{i=1}^N P_i \delta(v - v_i) \quad v_1 < v_2 < \dots$$

$$P(v, t) = \sum_{i=1}^N P_i S_i(t) \delta(v - v_i)$$

$S_i(t)$ = survival probability of v_i

special case: bimodal distribution ($N = 2$)



$S_2(t) = \text{probability interval } [x, x + (v_2 - v_1)t]$
does not contain any v_1 at time $t = 0$

$$S_2(t) = [1 - P_1 \Delta x]^{\frac{(v_2 - v_1)t}{\Delta x}} \underset{\Delta x \rightarrow 0}{\rightarrow} \exp[-t(v_2 - v_1)P_1]$$

$$S_2(t) = \exp[-t(v_2 - v_1)P_1]$$

trimodal distributions $v_1 < v_2 < v_3$

$S_3(t) = \text{probability interval } [0, (v_3 - v_1)t] \text{ has no } v_1 \text{ and interval } [0, (v_3 - v_2)t] \text{ has no } v_2$

$$S_3(t) = \exp [-t(v_3 - v_1)P_1 - t(v_3 - v_2)P_2]$$

generally,

$$S_k(t) = \exp \left[-t \sum_{j=1}^{k-1} (v_k - v_j) P_j \right]$$

continuous velocity distributions

$$v_i \rightarrow v \quad \sum_{j=1}^{k-1} \rightarrow \int_0^v dv' \quad P_j \rightarrow P_0(v)$$

survival probability

$$S(v, t) = \exp \left[-t \int_0^v dv' (v - v') P_0(v') \right]$$

velocity distribution of clusters $P = P_0 S$

$$P(v, t) = P_0(v) \exp \left[-t \int_0^v dv' (v - v') P_0(v') \right]$$

exact solution for arbitrary $P_0(v)$

The Boltzmann equation

$$P(v, t) = P_0(v) \exp \left[-t \int_0^v dv' (v - v') P_0(v') \right]$$

evaluate $\frac{\partial}{\partial t} \ln P(v, t)$

$$\frac{\partial P(v, t)}{\partial t} = -P(v, t) \int_0^v dv' (v - v') P_0(v')$$

- integration limits: v affected only by $v' < v$
- collision rate = velocity difference $(v - v')$
- two point density = $P(v_>, t)P(v_<, 0)$
- two point density “remembers” initial state
- slow cars mildly affected
- fast cars exponentially suppressed

Scaling behavior

cluster density $c(t) = \int dv P(v, t)$ Nagatani PRE 96

$$c(t) \sim t^{-\alpha} \quad \alpha = \frac{\mu + 1}{\mu + 2}$$

average cluster velocity $\langle v(t) \rangle = \int dv v P(v, t) / c(t)$

$$\langle v(t) \rangle \sim t^{-\beta} \quad \beta = 1 - \alpha = \frac{1}{\mu + 2}$$

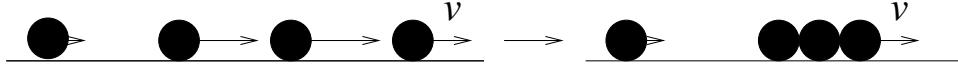
extremal properties of $P_0(v)$ determine the long time behavior ($P_0(v) \sim v^\mu$ as $v \rightarrow 0$)

velocity distribution scales

$$P(v, t) \sim t^{\beta-\alpha} F(vt^\beta) \quad F(x) \sim x^\mu \exp[-x^{\mu+2}]$$

- self-similar velocity distribution
- late time behavior gives μ
- slow car statistics dominate the flow

Why? extreme statistics



$$P_-(v) = \int_0^v dv' P_0(v') \quad P_+(v) = \int_v^\infty dv' P_0(v')$$

probability final cluster has k cars

$$P_k(v) = P_- P_+^k \quad \Rightarrow \quad \langle m \rangle = \sum_k k P_- P_+^k = \frac{P_+}{P_-}$$

using this heuristic picture, it is possible to reproduce the scaling behavior

$$P_0(v) \sim v^\mu \Rightarrow P_-(v) \sim v^{\mu+1} \Rightarrow \langle m \rangle \sim v^{-(\mu+1)} \quad (*)$$

dimensional analysis suggests

$$m \sim vt \quad (**)$$

combining $(*)$, $(**)$

$$c \sim m^{-1} \sim t^{-\frac{\mu+1}{\mu+2}} \quad v \sim t^{-\frac{1}{\mu+2}}$$

Cluster mass-velocity distribution

$Q_m(v, t)$ = distribution of clusters with at least m cars moving at velocity v

$$Q_1(v, t) = P(v, t)$$

$$Q_2(v, t) = P(v, t) \int_v^\infty dv_1 P_0(v_1) \int_{x_1 < (v_1 - v)t} dx_1 \exp(-x_1)$$

$$Q_m(v, t) = P(v, t) \prod_{i=1}^{m-1} \int_v^\infty dv_i P_0(v_i) \int_{x_1 + \dots + x_i < (v_i - v)t} dx_i \exp(-x_i)$$

$P_m(v, t) = Q_m(v, t) - Q_{m+1}(v, t)$ = distribution
of clusters with exactly m cars moving at v

$P_m(v, t)$ scales: $P_m(v, t) \sim t^{\beta-2\alpha} F(mt^{-\alpha}, vt^\beta)$

$$F(x, y) = (x + y)^{\mu+1} y^\mu \exp[-(x + y)^{\mu+2}]$$

$P(v, t)$ and $P_m(t)$ are Gaussian when $\mu = 0$

Conclusions I

- exact Boltzmann equation derived

$$\frac{\partial P(v, t)}{\partial t} = -P(v, t) \int_0^v dv' (v - v') P_0(v')$$

- scaling asymptotic behavior

$$c \sim m^{-1} \sim t^{-\frac{\mu+1}{\mu+2}} \quad v \sim t^{-\frac{1}{\mu+2}}$$

- self similar distributions
- slow car distribution - the only relevant parameter in long time limit

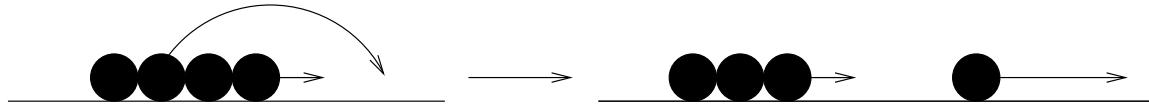
$$\mu = \lim_{v \rightarrow 0} v \frac{\partial}{\partial v} \ln P_0(v)$$

- spatial inhomogeneities

$$P(x, v, t) = P_0(x - vt, v) \exp \left[- \int_0^v dv' \int_{x-vt}^{x-v't} dx' P_0(x', v') \right]$$

- complete exact solution

Passing zones

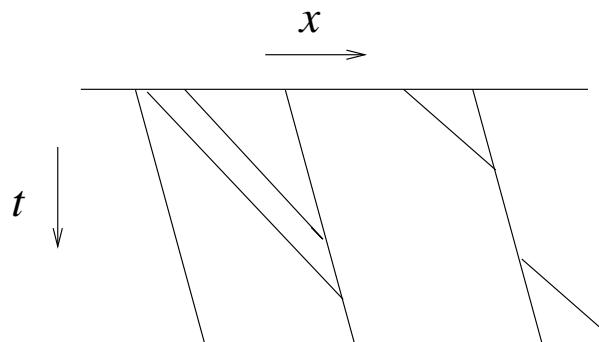


introduce possibility of escape/passing
simplifications:

- every car can escape
- constant escape rate = t_0^{-1}
- escape time = 0

goals: given $P_0(v) = P(v, t = 0)$, find:

- steady state distribution $P(v) \equiv P(v, t = \infty)$
- flux - J , average cluster size $\langle m \rangle$



Heuristic picture

let

$$\begin{aligned} t_0^{-1} &= \text{escape rate} \\ v_0 &= \text{typical velocity} \\ c_0 &= \text{initial car concentration} \\ c &= \text{steady state cluster concentration} \end{aligned}$$

then $m = c_0/c = \text{average cluster size}$

$$\langle m \rangle t_0^{-1} \sim \text{total escape rate}$$

$$c v_0 \sim \text{total collision rate}$$

in steady state, # of cars entering and leaving clusters must balance!

$$\langle m \rangle t_0^{-1} \sim c v_0 \Rightarrow c \sim (c_0/v_0 t_0)^{1/2}$$

$$R = c_0 v_0 t_0 = \frac{t_{\text{esc}}}{t_{\text{col}}} \langle m \rangle t_{\text{esc}} \sim R^{1/2} = t_0 \quad t_{\text{col}} = (c_0 v_0)^{-1}$$

single dimensionless number

Modified Boltzmann equation

preliminary: use dimensionless variables

$$c_0 x \rightarrow x \quad v/v_0 \rightarrow v \quad c_0 v_0 t \rightarrow t$$

escape rate renormalizes

$$t_0^{-1} \rightarrow (c_0 v_0 t_0)^{-1} = R^{-1}$$

R = the “collision” number

mean-field Boltzmann equation

$$\frac{\partial P(v)}{\partial t} = R^{-1} [P_0(v) - P(v)] - P(v) \int_0^v dv' (v - v') P(v')$$

exact escape term: # of slowed down cars
with intrinsic velocity v

approximate collision term: assumes no correlations

what is the steady state distribution $P(v)$?
($t \rightarrow \infty$ or $\partial/\partial t \equiv 0$)

Formal steady state solution

let $P(v) \equiv P(v, t = \infty)$

$$0 = R^{-1} [P_0(v) - P(v)] - P(v) \int_0^v dv' (v - v') P(v')$$

then

$$P(v) \left[R^{-1} + \int_0^v dv' (v - v') P(v') \right] = R^{-1} P_0(v)$$

reduction to ordinary differential equation

$$Q(v) = R^{-1} + \int_0^v dv' (v - v') P(v')$$

$$Q(0) = \frac{R^{-1}}{Q(v) Q''(v)} = \frac{Q'(0)}{R^{-1} P_0(v)} = 0$$

auxiliary function $Q(v)$ gives $P(v)$

$$P(v) = Q''(v)$$

this gives only **cluster** properties

$$c = \int dv P(v) \quad \langle v \rangle = \int dv v P(v)$$

Conditional velocity distribution

$P(v, v')$ = distribution of cars with intrinsic velocity v and actual velocity $v' < v$

normalization

$$P_0(v) = P(v) + \int_0^v dv' P(v, v')$$

master equation

$$\begin{aligned} \frac{\partial P(v, v')}{\partial t} = & - R^{-1} P(v, v') + (v - v') P(v) P(v') \\ & - P(v, v') \int_0^{v'} dv'' (v' - v'') P(v'') \\ & + P(v') \int_{v'}^v dv'' (v'' - v') P(v, v''). \end{aligned}$$

steady state solution

$$P(v, v') = \frac{P_0(v) P_0(v')}{Q(v')} \int_{v'}^v \frac{du}{[RQ(u)]^2}.$$

$P(v, v')$ gives flux and more

actual velocity distribution $G(v)$, flux J

$$G(v) = P(v) + \int_v^\infty dw P(w, v) \quad J = \int dv v G(v)$$

summary

solve one second order nonlinear ODE

$$Q(v) Q''(v) = R^{-1} P_0(v)$$

get most steady state properties

$$\begin{aligned} P(v) &= Q''(v) \\ P(v, v') &= \frac{P_0(v) P_0(v')}{Q(v')} \int_{v'}^v \frac{du}{[RQ(u)]^2} \\ J &= \int_0^\infty dv P_0(v) \int_0^v \frac{du}{[RQ(u)]^2} \\ G(v) &= P(v) \left[1 + R \int_v^\infty dw P_0(w) \int_w^v \frac{du}{[RQ(u)]^2} \right] \end{aligned}$$

Low collision numbers $R \ll 1$

steady state equation

$$P(v) = P_0(v) \left[1 + R \int_0^v dv' (v - v') P(v') \right]^{-1}$$

perturbation series solution

$$P(v) = P^{(0)}(v) + RP^{(1)}(v) + R^2 P^{(2)}(v) + \dots$$

$$P(v, v') = RP^{(1)}(v, v') + R^2 P^{(2)}(v, v') + \dots$$

for example

$$P^{(0)}(v) = P_0(v)$$

$$P^{(1)}(v) = -P_0(v) \int_0^v dv' (v - v') P_0(v')$$

$$P^{(1)}(v, v') = (v - v') P_0(v) P_0(v')$$

$$c = 1 - c_1 R$$

$$J = J_0 - J_1 R \quad J_1 = \langle v^2 \rangle_0 - \langle v \rangle_0^2$$

perturbation series in R is possible

“laminar” uninterrupted flow

Large collision numbers $R \gg 1$

small velocities unaffected

$$P(v) \cong P_0(v) \left[1 - R \int_0^v dv' (v - v') P_0(v') \right] \quad v \ll v^*$$

assume algebraic intrinsic distribution

$$P_0(v) \sim v^\mu \quad v \rightarrow 0$$

threshold velocity

$$v^* \sim R^{-\frac{1}{\mu+2}}$$

faster than v^* cars are rare

$$P(v) \sim \begin{cases} (v^*)^\mu (v/v^*)^{\mu-1} & \mu < 0 \\ (v^*)^\mu (v/v^*)^{\mu/2-1} & \mu > 0 \end{cases} \quad v \gg v^*$$

inner & outer solutions match at boundary

$$P(v^*) \sim P_0(v^*)$$

boundary layer structure

Scaling properties

scaling with respect to R

$$\langle m \rangle \sim \begin{cases} R^{(\mu+1)/(\mu+2)} & \mu < 0 \\ R^{1/2} & \mu > 0 \end{cases}$$

heuristic picture holds only when $\mu < 0$

$$\langle v \rangle \sim \begin{cases} R^{\mu/(\mu+2)} & \mu < 0 \\ \text{const.} & \mu > 0 \end{cases}$$

flux is significantly reduced

$$J \sim v^* \sim R^{-\frac{1}{\mu+2}}$$

again slow car statistics relevant - μ

slowing down due to clustering

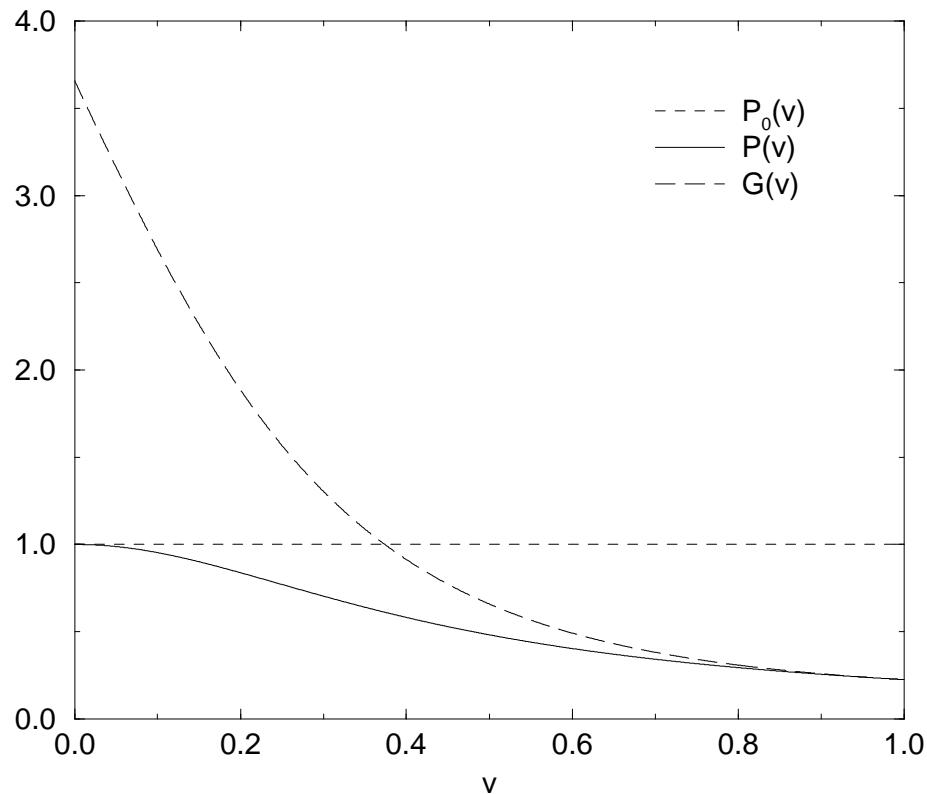
high collision numbers: “turbulent” flow

I flat intrinsic distribution

$$P_0(v) = 1 \text{ in } [0 : 1]$$

$R = 10$ - behavior consistent with predictions

$$G(0) \sim R^{1/2} \quad v^* \sim R^{-1/2} \quad P(1) \sim R^{-1/2}$$



ODE $Q(v)Q''(v) = R^{-1}$ is integrable here
 $\int_1^{RQ} \frac{dq}{\sqrt{2 \ln q}} = v\sqrt{R}$

II discrete velocity distributions

$$P(v) = \frac{P_0(v)}{1 + \int_0^v dv' (v - v') P(v')} \quad (R = 1)$$

$$P_0(v) = \sum_i \frac{c_i}{p_i} \overline{\delta(v - v_i)}$$
$$c_1 = p_1$$
$$c_2 = \frac{p_2}{1 + (v_2 - v_1)p_1}$$
$$c_3 = \frac{p_3}{1 + (v_3 - v_1)p_1 + \frac{(v_3 - v_2)p_2}{1 + (v_2 - v_1)p_1}}$$

explicit continued fraction solution

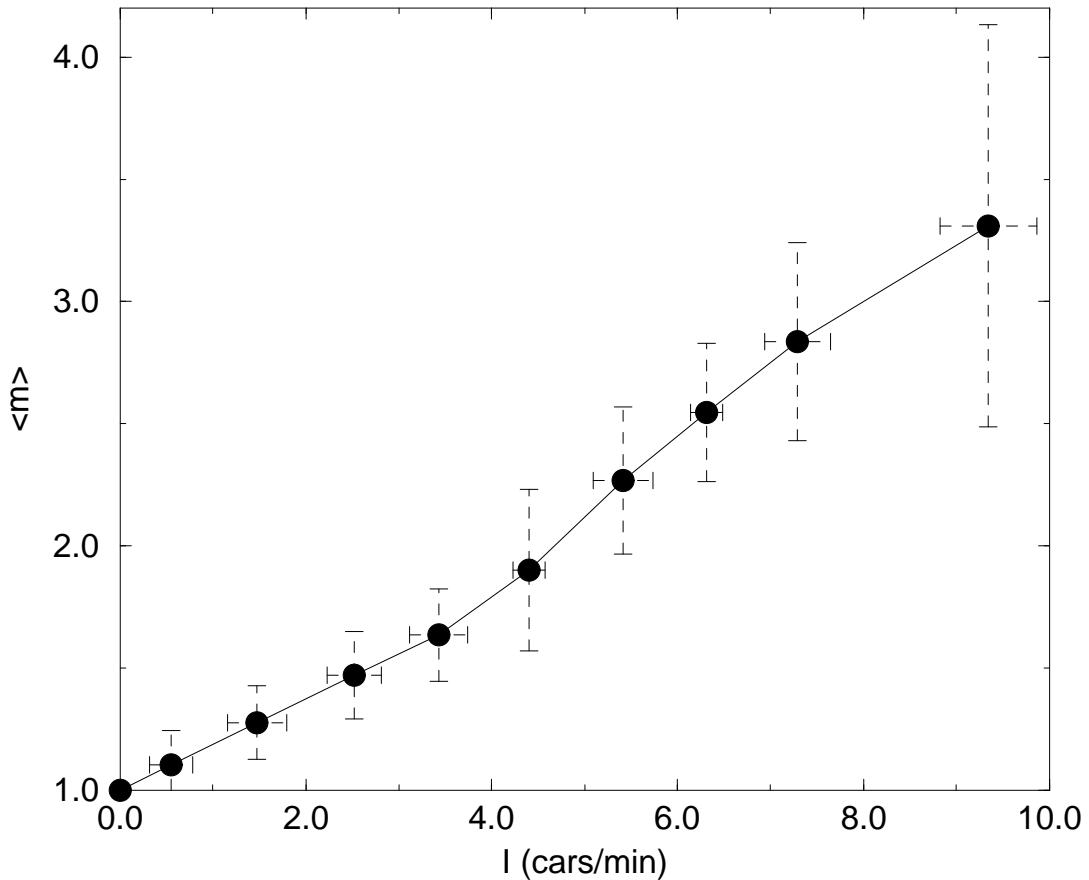
Conclusions II

- a single dimensionless number $R = c_0 v_0 t_0$ underlies the behavior
- exact solution of MFT possible for $P(v)$, $P(v, v')$, $G(v)$, J
- $R \ll 1$ laminar uninterrupted flow:
 - perturbative solution
 - exponential mass distribution $P_k \sim R^k$
 - each collision generates a factor R
- $R \gg 1$ collisions dominate:
 - scaling in R
 - boundary layer structure in v
 - strong flux reduction
 - slow car statistics plays crucial role

Rural traffic observations

$$I \equiv c_0(v_{\min} + v_0 J) \propto c_0 v_{\min} \propto R$$

$$\langle m \rangle \cong 1 + \text{const.} \times R \cong 1 + \text{const.} \times I$$



Linear growth of cluster size
confirmed in laminar case ($R \ll 1$)

Outlook

- compare velocity distributions with real data
- solve for steady state mass distribution
- solve when only first car can escape
- examine role of escape mechanism
- generalize to multilane flows - escape naturally couples neighboring lanes
- role of other dimensionless numbers?

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